

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550113
Solicitation Title: FIRE ALARM SPRINKLER SYSTEMS TESTING AND REPAIR - RI COLLEGE (29 PGS)

**Bid Proposal Submission
Deadline Date & Time:** 1/11/2016 10:00 AM

RIVIP Vendor ID #: 71323
Bidder Name: SimplexGrinnell
Address: 690 Narragansett Park Dr
Pawtucket, RI 02861
USA

Telephone: 401-288-4600
Fax: 401-721-0744
Contact Name: Victoria LaRochelle
Contact Title: CustomerCareRep
Contact Email: vlarochelle@simplexgrinnell.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- N 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

PLEASE SEE ATTACHED:

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Solicitation 7550113

SPRINKLER / STANDPIPE / FIRE PUMPS CONNECTED TO A FIRE ALARM SYSTEM – RHODE ISLAND COLLEGE

PERIOD: 1/1/16 – 12/31/18

PERFORM QUARTERLY TESTING AND INSPECTION OF VARIOUS RHODE ISLAND COLLEGE SPRINKLER AND STANDPIPE SYSTEMS PLUS REPAIRS AND THE INTERCONNECTION TO THE FIRE ALARM SYSTEM.

VENDOR IS TO SUPPLY, REPAIR AND/OR REPLACE THOSE COMPONENTS TO BE FOUND DEFECTIVE.

THE TESTING SPECIFIED IS REQUIRED TO COMPLY WITH THE R.I. FIRE CODE AND NFPA# 13

NOTE: QUARTERLY PRICING EXCLUDES TESTING OF FIRE PUMPS AND BACKFLOW PREVENTERS.

NOTE: MONTHLY PRICING TO RUN FIRE PUMPS ACCORDING TO NFPA CODES.

NOTE: Repair work performed shall be considered Public Works per RI General Laws 37-13, and therefore the awarded shall be required to pay his/her employees the applicable prevailing wage rates. Routine maintenance work is not considered public works and is not subject to prevailing wage rates. LINE ITEMS 5 – 12 OF SOLICITATION ARE CONSIDERED PUBLIC WORKS.

UPON ISSUANCE OF TENTATIVE AWARD VENDOR MAY BE REQUIRED TO SUPPLY RHODE ISLAND LICENSE TO PERFORM PROJECT (WORK) SCOPE.

SPRINKLER FILTER REQUIRED FOR ALL TESTING.

FULL FLOW FIRE PUMP TESTS ANNUALLY AND RUN THE ELECTRIC FIRE PUMPS MONTHLY FOR THE FOLLOWING BUILDINGS:

- Sherlock Center on Disabilities
- John Nazarian Center for the Performing Arts
- Roberts Hall
- Craig-Lee
- Fogarty Life Science
- Adams Library
- Physical Plant
- Horace Mann
- Henry Barnard School
- Penfield Hall
- Recreation Center

Sprinkler and Standpipes Systems (28 buildings)

Financial Aid/Classrooms/Café, Sherlock Center on Disabilities, Guardo Hall, Forman Center, Yellow Cottage (Nina House), Recreation Center, John Nazarian Center for the Performing Arts, Whipple Hall, Roberts Hall, Alex & Ani Hall, Alger Hall, Craig-Lee, Clarke Science, Fogarty Life Science, Adam Library, Gaige Hall (Temporarily offline due to construction), Murray Center, Physical Plant, Sweet Hall, Browne Hall, Willard Hall, Weber Hall, Thorp Hall, Horace Mann, Student Union, Donovan Dining/Faculty/Media Center, Henry Barnard School, Penfield Hall.

THERE ARE 28 BUILDINGS WITH SPRINKLER OR STANDPIPE SYSTEMS.



Request for Quote

Page 1 of 2

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 07-DEC-15
BID NUMBER: 7550113
TITLE: FIRE ALARM SPRINKLER SYSTEMS - TESTING
AND REPAIR, RHODE ISLAND COLLEGE

BUYER: Mosca, Gary
PHONE #: 401-574-8124

BLANKET START : 01-JAN-16
BLANKET END : 31-DEC-18
BID CLOSING DATE AND TIME: 11-JAN-2016 10:00:00

B
I
L
L
T
O
RIC-PURCHASING
600 MOUNT PLEASANT AVENUE
PROVIDENCE, RI 02908
US

S
H
I
P
T
O
RIC SPECIAL INSTRUCTIONS
SEE BELOW
SEE BELOW, RI N/A
US

Regulation Number: 1442090

Line	Description	Quantity	Unit	Unit Price	Total
1	1/1/16 - 6/30/16 - QUARTERLY SPRINKLER AND STANDPIPE SYSTEMS TESTS	2.00	Quarter	2,800	5,600
2	7/1/16 - 6/30/17 - QUARTERLY SPRINKLER AND STANDPIPE SYSTEMS TESTS	4.00	Quarter	2,800	11,200
3	7/1/17 - 6/30/18 - QUARTERLY SPRINKLER AND STANDPIPE SYSTEMS TESTS	4.00	Quarter	2,800	11,200
4	7/1/18 - 12/31/18 - QUARTERLY SPRINKLER AND STANDPIPE SYSTEMS TESTS	2.00	Quarter	2,800	5,600
5	1/1/16 - 6/30/16 - REGULAR HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	100.00	Hour	85	8,500
6	7/1/16 - 6/30/17 - REGULAR HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	200.00	Hour	85	17,000
7	7/1/17 - 6/30/18 - REGULAR HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	200.00	Hour	85	17,000
8	7/1/18 - 12/31/18 - REGULAR HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	100.00	Hour	85	8,500
9	1/1/16 - 6/30/16 - OVERTIME HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	30.00	Hour	127.50	3,825
10	7/1/16 - 6/30/17 - OVERTIME HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	50.00	Hour	127.50	6,375
11	7/1/17 - 6/30/18 - OVERTIME HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	50.00	Hour	127.50	6,375
12	7/1/18 - 12/31/18 - OVERTIME HOURLY RATE FOR SPRINKLERS/STANDPIPE SYSTEM REPAIRS	30.00	Hour	127.50	3,825
13	1/1/16 - 6/30/16 - CONDUCT FULL FLOW FIRE PUMP TESTS ANNUALLY TO 11 BUILDINGS PER SPECIFICATIONS	1.00	Year	1,650	1,650
14	7/1/16 - 6/30/17 - CONDUCT FULL FLOW FIRE PUMP TESTS ANNUALLY TO 11 BUILDINGS PER	1.00	Year	3,300	3,300

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer



Request for Quote

Page 2 of 2

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
ONE CAPITOL HILL
PROVIDENCE RI 02908

CREATION DATE : 07-DEC-15

BID NUMBER: 7550113

TITLE: FIRE ALARM SPRINKLER SYSTEMS - TESTING
AND REPAIR, RHODE ISLAND COLLEGE

BUYER: Mosca, Gary
PHONE #: 401-574-8124

BLANKET START : 01-JAN-16

BLANKET END : 31-DEC-16

BID CLOSING DATE AND TIME: 11-JAN-2016 10:00:00

B
I
L
L
T
O

RIC-PURCHASING
600 MOUNT PLEASANT AVENUE
PROVIDENCE, RI 02908
US

S
H
I
P
T
O

RIC SPECIAL INSTRUCTIONS
SEE BELOW
SEE BELOW, RI N/A
US

Regulation Number: 1442090

Line	Description	Quantity	Unit	Unit Price	Total
	SPECIFICATIONS				
15	7/1/17 - 6/30/18 - CONDUCT FULL FLOW FIRE PUMP TESTS ANNUALLY TO 11 BUILDINGS PER SPECIFICATIONS	1.00	Year	3,300	3,300
16	7/1/18 - 12/31/18 - CONDUCT FULL FLOW FIRE PUMP TESTS ANNUALLY TO 11 BUILDINGS PER SPECIFICATIONS.	1.00	Year	1,650	1,650
17	1/1/16 - 6/30/16 - CONDUCT ELECTRIC FIRE PUMP TESTS MONTHLY TO 11 BUILDINGS PER SPECIFICATIONS	6.00	Month	275	1,650
18	7/1/16 - 6/30/17 - CONDUCT ELECTRIC FIRE PUMP TESTS MONTHLY TO 11 BUILDINGS PER SPECIFICATIONS	12.00	Month	275	3,300
19	7/1/17 - 6/30/18 - CONDUCT ELECTRIC FIRE PUMP TESTS MONTHLY TO 11 BUILDINGS PER SPECIFICATIONS	12.00	Month	275	3,300
20	7/1/18 - 12/31/18 - CONDUCT ELECTRIC FIRE PUMP TESTS MONTHLY TO 11 BUILDINGS PER SPECIFICATIONS PROVIDE PARTS DISCOUNT OFF MANUFACTURER'S LIST PRICE: 10 %	6.00	Month	275	1,650

Delivery: IMMEDIATE

Terms of Payment: NET 30 DAYS

It is the Vendor's responsibility to check and download any and all addenda from the RIVIP. This offer may not be considered unless a signed RIVIP generated Bidder Certification Cover Form is attached and the Unit Price column is completed. The signed Certification Cover Form must be attached to the front of the offer

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550113A1
Solicitation Title: FIRE ALARM SPRINKLER SYSTEMS TESTING AND REPAIR - RI COLLEGE - ADDENDUM 1 (3 PGS)
Bid Proposal Submission Deadline Date & Time: 1/11/2016 10:00 AM
RIVIP Vendor ID #: 71323
Bidder Name: SimplexGrinnell
Address: 690 Narragansett Park Dr
Pawtucket, RI 02861
USA
Telephone: 401-288-4600
Fax: 401-721-0744
Contact Name: Victoria LaRoche
Contact Title: CustomerCareRep
Contact Email: vlarochelle@simplexgrinnell.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- N* 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N* 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N* 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- N 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

PLEASE SEE ATTACHED!

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

SEE ATTACHED!

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 1-8-16

SimplexGrinnell
Name of Bidder

[Signature]
Signature in ink
Jason R. Kingston, Service Sales Mgr.
Printed name and title of person signing on behalf of Bidder



State of Rhode Island
Department of Administration / Division of Purchases
One Capitol Hill, Providence, Rhode Island 02908-5855
Tel: (401) 574-8100 Fax: (401) 574-8387

ADDENDUM # 1

12/17/2015

Solicitation #7550113

Title: Fire Alarm Sprinkler Systems Testing and Repair – Rhode Island College

Submission Deadline: January 11, 2016 @ 10:00 am

Per the issuance of ADDENDUM #1 the following are noted:

- Submitted Questions and Responses
- Rhode Island College Masterbox Information

Interested Parties should monitor this website on a regular basis, for any additional information that may be posted.

**Gary P. Mosca
Senior Buyer**

Vendor Questions: RFQ #7550113

- 1) How many and what size are the Fire Pumps that are required to be tested? ie: Adams Library has one(1) 750gpm fire pump.

Response: Ten fire pumps in total and they are 500gpm fire pumps.

- 2) How many standpipes systems are in each of the 28 buildings. ie: there are 2 standpipe systems in Adams Library one in each stairwell.

Response: The following ten buildings have standpipe systems with fire department connection to them. The remaining systems are sprinkler systems.

- Adams
- Galge Hall
- Craig-Lee
- Fogarty Life Science
- Clarke Science
- Penfield Hall (Residence Hall)
- Thorp Hall (Residence Hall)
- Weber Hall (Residence Hall)
- Sweet Hall (Residence Hall)
- Browne Hall (Residence Hall)

- 3) What type and how many sprinkler system risers: ie: Adams library has 2 wet risers and 1 dry riser.

Response: The systems on campus are wet with exception to two (2) pre-action systems located in Adams Library and Roberts Hall and there are four (4) buildings with dry partial systems.

Rhode Island College Masterbox Information

Bldg #	Building Name	Boxes#		Panel	Year	Sprinkler	Fire Pump	Estimate
1	Network and Telecommunication	3926	PC	Edwards	1999	N		25
2	Bld. #2 [Alarm install 6/9]		P	FCI	2003	N		47
3	Financial Aid/ Classrooms/Café	7737	P	FCI	2009	Y		30
4	Bursar / Records	2938	PC	Fire Lite	2011	N		39
5	Accounting / Payroll / Purchasing	2939	PC	Gamewell	2001	N		30
6	Education Management Collaborative		RL	Edwards	1980's	N		40
7	Sherlock Center on Disabilities	2687	PC	FCI	2009	Y	Y	40
8	Management & Technology Outreach	1953	P	FCI	2002	N		28
9	School of Social Work	1918	PC	Notifier	2004	Y		65
10	Kauffman Center	1794	PC	Gamewell	2002	N		65
11	Forman Center	2713	PC	FCI	2011	Y		50
12	Nina House		RL	EST 2	2006	Y		15
13	Recreation Center Bld.	4768	PC	E3/FCI	2012	Y	Y	80
14	Cooperative Preschool	4783	PC	FCI	1999	N		10
15	Performing Arts	4752	PC	Gamewell	2001	Y	Y	80
16	Whipple Hall	3925	PC	FCI	2011	Y	1st Floor only	65
17	Robert Hall	1941	PC	E3/FCI Apollo	2010	Y	Y	80
18	Alex and Ani Hall	1947	PC	FCI	20014	Y		65
19	Alger Hall	1942	PC	EST 2	2004	Y		50
20	Craig Lee	1951	PC	E3/FCI	2006	Y	Y	65
21	Clarke Science	1944	PC	E3/FCI	2011	Y		45
22	Fogarty Life Science	1945	PC	E3/FCI	2011	Y		45
23	Adams Library	1943	PC	FCI	2003	Y	Y	75
24	Gaige Hall	1949	PC	Notifier	2005	Y		75
25	Murray Center	4769	PC	FCI	2011	Y		60
26	Student Athletics Center		RL	FCI	2011	N		15
27	Hennessey Building		RL			N		
28	Physical Plant Building	2961	RL	FCI	2012	Y	Y	35
29	Center for Economic Education		RL			N		
30	Office Building		RL			N		10
31	Alumni House		RL			N		
32	President's House		RL			N		
33	Sweet Hall > [residence]	1952	PC	FCI	2001	Y		165
34	Browne Hall > [residence]	4767	PC	FCI	2002	Y		240
35	Willard Hall > [residence]	1938	PC	FCI	2001	Y		185
36	Weber Hall > [residence]	1937	PC	FCI	2011	Y		215
37	Thorp Hall > [residence]	1936	PC	FCI	2000	Y		227
38	Horace Mann Hall	1946	PC	FCI	2011	Y	Y	75
39	Student Union	1948	PC	FCI	2003	Y		75
40 & 41	Donovan Dining/Faculty/Media Center	4824	PC	FCI	2007	Y		50
42	Henry Barnard School	1939	PC	FCI	2009	Y	Y	45
43	New Res. Hall	5413	PC	FCI	2007	Y	Y	600
	P > Prov. Fire Dept.							
	PC > Prov. Fire Dept. / Campus Police							
	RL > Ring local							
	C > Campus Police							

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7550113A2
Solicitation Title: FIRE ALARM SPRINKLER SYSTEMS - TESTING AND REPAIR - RIC (5 PGS)

**Bid Proposal Submission
Deadline Date & Time:** 1/11/2016 10:00 AM

RIVIP Vendor ID #: 71323
Bidder Name: SimplexGrinnell
Address: 690 Narragansett Park Dr
Pawtucket, RI 02861
USA

Telephone: 401-288-4600
Fax: 401-721-0744
Contact Name: Victoria LaRochelle
Contact Title: CustomerCareRep
Contact Email: vlarochelle@simplexgrinnell.com

SECTION 2 —DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

- N* 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N* 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N* 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

N

4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Disclosure details (continue on additional sheet if necessary):

PLEASE SEE ATTACHED!

SECTION 3 —CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- Y 3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- Y 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

SEE ATTACHED

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: 1-8-16

Simplex Grinnell
Name of Bidder

[Signature]
Signature in Ink
Jason R. Kingston, Service Sales Mgr.
Printed name and title of person signing on behalf of Bidder



State of Rhode Island
Department of Administration / Division of Purchases
One Capitol Hill, Providence, Rhode Island 02908-5855
Tel: (401) 574-8100 Fax: (401) 574-8387

ADDENDUM # 2

12/22/2015

Solicitation #7550113

Title: Fire Alarm Sprinkler systems Testing and Repair – Rhode Island College

Submission Deadline: January 11, 2016 @ 10:00 am

Per the issuance of ADDENDUM #2 the following are noted:

Submitted Question:

Question: Is the awarded vendor responsible to provide a Fire Alarm technician to do all disconnects?

~~**Response:** Yes, the technician will do all the disconnects.~~

Interested Parties should monitor this website on a regular basis, for any additional information that may be posted.

**Gary P. Mosca
Senior Buyer**

Contract Terms and Conditions

Table of Contents

Terms and Conditions.....	XIV
BID STANDARD TERMS AND CONDITIONS	XIV
TERMS AND CONDITIONS FOR THIS BID	XIV
MULTI YEAR AWARD	XIV
HOURS - BIDDING PURPOSES	XIV
INSPECTION REQUIREMENTS	XIV
CHARGES PERMITTED	XIV

Terms and Conditions

BID STANDARD TERMS AND CONDITIONS

TERMS AND CONDITIONS FOR THIS BID

MULTI YEAR AWARD

THIS IS A MULTI-YEAR BID/CONTRACT. PER RHODE ISLAND STATE LAW 37-2-33, CONTRACT OBLIGATIONS BEYOND THE CURRENT FISCAL YEAR ARE SUBJECT TO AVAILABILITY OF FUNDS. CONTINUATION OF THE CONTRACT BEYOND THE INITIAL FISCAL YEAR WILL BE AT THE DISCRETION OF THE STATE. TERMINATION MAY BE EFFECTED BY THE STATE BASED UPON DETERMINING FACTORS SUCH AS UNSATISFACTORY PERFORMANCE OR THE DETERMINATION BY THE STATE TO DISCONTINUE THE GOODS/SERVICES, OR TO REVISE THE SCOPE AND NEED FOR THE TYPE OF GOODS/SERVICES; ALSO MANAGEMENT OWNER DETERMINATIONS THAT MAY PRECLUDE THE NEED FOR GOODS/SERVICES.

HOURS - BIDDING PURPOSES

HOURS INDICATED ARE ESTIMATED QUANTITIES FOR BIDDING PURPOSES ONLY.

INSPECTION REQUIREMENTS

BIDDERS ARE RESPONSIBLE FOR INSPECTION OF EQUIPMENT AND/OR LOCATION, TAKING MEASUREMENTS* WHEN REQUIRED, AND MAKING THEMSELVES AWARE OF THE TOTAL REQUIREMENT BEFORE SUBMITTING A BID. *MEASUREMENTS PROVIDED WITH ANY BID ARE FOR REFERENCE PURPOSES AND ARE NOT GUARANTEED TO BE COMPLETELY ACCURATE.

CHARGES PERMITTED

NO CHARGES OTHER THAN PARTS AND LABOR ON THE JOB - NO TRAVEL, NO MILEAGE, NO MISCELLANEOUS CHARGES, NO PORTAL TO PORTAL.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone: (401) 462-8000
TTY: Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.

CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: _____

Title: _____

[Signature]
Service Sales Mgr.

Subscribed and sworn before me this 8 day of January, 2015

[Signature]
Notary Public
My commission expires: 2/1/19

MELISSA M. MOWRY
Notary Public-State of Rhode Island
My Commission Expires
February 01, 2019

An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities.

TTY via RI Relay 711

SimplexGrinnell LP - Officers

(No officer owns any interest in SimplexGrinnell LP)

Robert F. Chauvin, President, SimplexGrinnell
50 Technology Dr. / Westminister, MA 01441

As of October 1, 2010, Mr. Chauvin is the President of SimplexGrinnell LP, North America's premier provider of fire, life-safety, and integrated security systems. Mr. Chauvin assumed his previous executive position of Vice President, Northern Operations in March, 2004. He was responsible for all sales and service operations across the Northeast and North Central United States and Canada. Before assuming the Northern Operations position, Mr. Chauvin spent 24 years with Simplex, most recently as Vice President and Chief Financial Officer. From 1998 to 2001, Mr. Chauvin held the position of Vice President and Corporate Controller. A certified public accountant, Mr. Chauvin also served at Simplex as Director of Security Systems Marketing, Director of Financial Planning and Analysis, Corporate Accounting Controller, and Manager of Corporate Financial Planning. Prior to joining Simplex in 1980, Chauvin worked with the firm of Coopers & Lybrand. He holds a bachelor's degree in accounting from the University of Connecticut, and a Master of Business Administration degree from Anna Maria College in Paxton, Massachusetts.

Carmine Schiavone, Vice President, Eastern Operations
283 Gibraltar Road / Horsham, PA 19044

Mr. Schiavone is responsible for the Northeast, Mid Atlantic, Atlantic Coast, and Southeast regions. For the past two years, he has served as general manager, Americas, for Tyco Fire Protection Products (TFPP). In this crucial Tyco leadership role, Mr. Schiavone has been responsible for sales, customer service, business development, logistics, and distribution. He has managed over \$600 million worth of business, across three product platforms and in 17 countries in North and South America. The results have been impressive, as Mr. Schiavone has grown the business, improved profitability during an economic downturn, produced positive price realization, reduced the injury rate by nearly 50 percent, and developed a comprehensive, long-term growth strategy. He has 20 years of experience in fire protection, having served in key roles in operations, sales, business development, marketing, and communications. He has received two Tyco Chairman's Awards – for Integrity in 2007 and Teamwork in 2009. Carmine's extensive experience on the products side of the business working for Tyco Fire Suppression & Building Products will be invaluable in creating strong bonds with SimplexGrinnell and our services business. This move is indicative of the commitment to leveraging talent and leadership across the new Tyco Fire Protection organization and Tyco as a whole.

10/7/2015

Rich Bledsoe, Vice President, Central Operations
3600 McGill St., Suite 300 / South Bend, IN 46628

Mr. Bledsoe is responsible for the Midwest, North Central, and South Central regions. He is returning to SimplexGrinnell after broadening his life-safety experience in other venues over the past five years. Most recently, he has been a Midwest leader for Johnson Controls. From 2005-2008, Mr. Bledsoe was president and CEO of McDaniel Fire Systems -- the first external candidate ever selected to lead that company. In that top executive role, he improved operational performance, bottom-line results, brand recognition and market reputation. Mr. Bledsoe's tenure at McDaniel Fire Systems complemented his experience at SimplexGrinnell, where he held positions of increasing responsibility, advancing in 2004 to vice president of southern operations. He had previously served as director of operational excellence, region director, and general manager for the New York Metro Area office. In each of those roles, he succeeded in driving growth, profitability, operational efficiency, and customer satisfaction.

Mark Meisner, Vice President & CFO
9 Roszel Road / Princeton, NJ 08540

Mr. Meisner is the Vice President of Finance for Tyco's Installation & Services. Mr. Meisner reports operationally to the President of SimplexGrinnell Mr. Bob Chauvin and functionally to Bob Roche, Tyco Senior Vice President of Business Finance. Mr. Meisner is responsible for providing field finance support for the operational leaders across all of SimplexGrinnell and Tyco Integrated Security. Mr. Meisner supports the tactical and strategic execution of the field operations in sales, service, and installation and has overall ownership of the planning and forecasting of the business P&Ls and partnering with business partners to deliver the planned results.

From August 2009 to October 2010, Mr. Meisner served as the CFO for the Security Products business unit within Tyco Safety Products. From June 2007 to August 2009, Mr. Meisner was the Director of Financial Planning & Analysis for Tyco's Safety Product segment of the business. From March of 2004 to June of 2007, Mr. Meisner was the Director of Specialized Accounting for Tyco International at the Corporate Headquarters.

Chris Maxie, SimplexGrinnell Vice President/General Counsel
4700 Exchange Court, Suite 300 / Boca Raton, FL 33431

In July, 2009, Mr. Chris Maxie joined SimplexGrinnell from ADT Security Services where he most recently held the position of Assistant General Counsel. He is responsible for the oversight, support, and guidance of all legal matters for the SimplexGrinnell business. Mr. Maxie joined Tyco in 2007 as Assistant General Counsel for ADT Security Services. Prior to that, Mr. Maxie was the Assistant General Counsel for Eclipsys in Boca Raton, Florida. Mr. Maxie was also a Senior Partner for five years at Maxie, Rheinheimer, Stephens & Vrevich in San Diego. Mr. Maxie began his law career with the Oklahoma Department of Securities and then practiced law with two San Diego based law firms for six years. He earned his Juris Doctor from the University of Oklahoma College of Law School and his undergraduate degree from Oklahoma State.

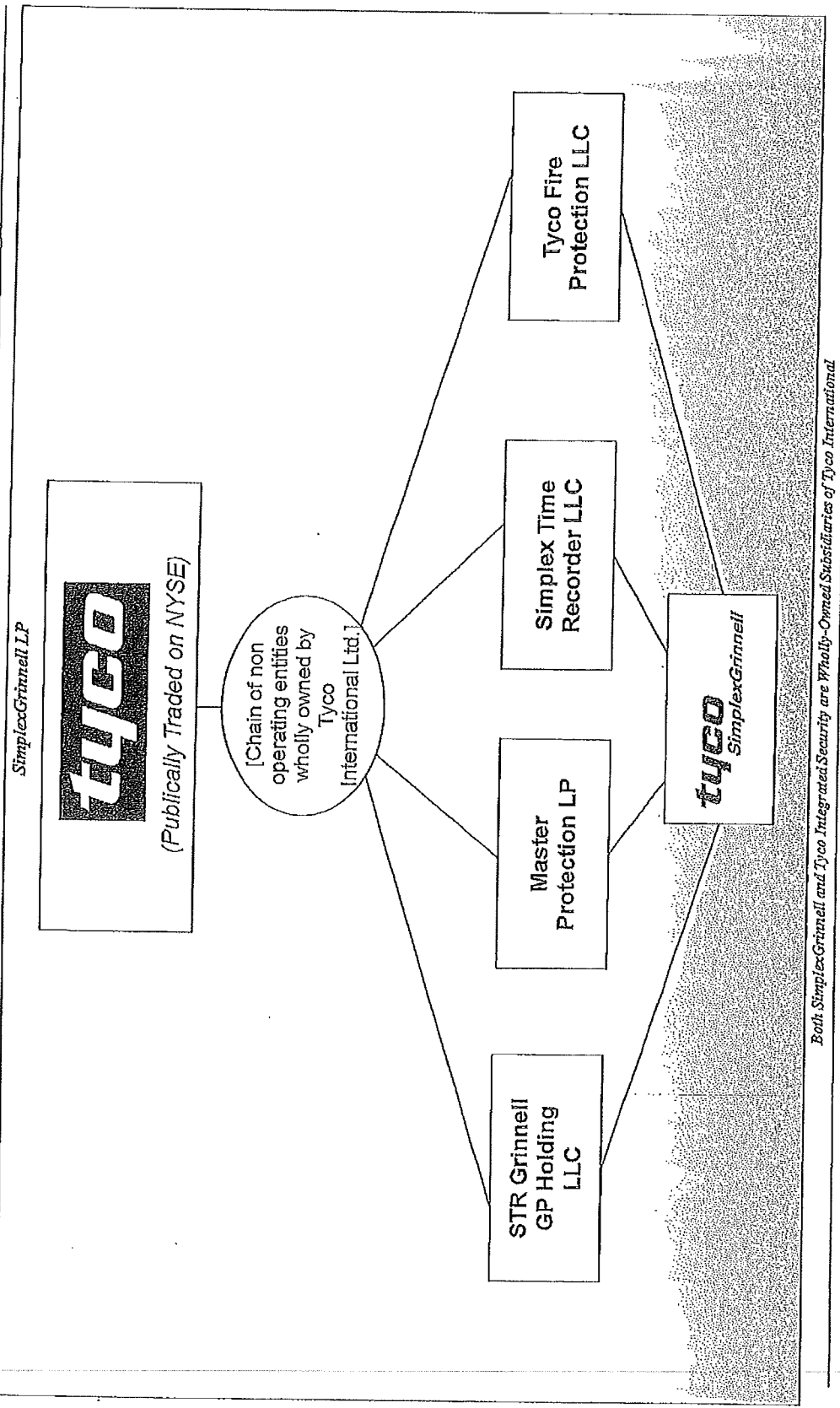
10/7/2015

Dana Smith, Vice President of Human Resources
50 Technology Dr. / Westminster, MA 01441

Mr. Smith is responsible for the leadership, direction, and execution of human resource initiatives and programs including recruiting, staffing, employee, and management development, succession planning, compensation, labor relations, diversity and inclusion, employee wellness programs and HR service delivery. Most recently Mr. Smith was the Director, Human Resources-Northern Operations for SimplexGrinnell, supporting, partnering and providing leadership to 70 Regional and District Managers and approximately 6,500 employees across the US and Canada. Mr. Smith has more than 15 years of human resources and management experience. Prior to joining Tyco, Mr. Smith was the Manager, Human Resources for INVENSYS/MARCAM SOLUTIONS supporting global operations for three operating units with employees in the US, Europe and Asia. Previously, he held the position of Manager, Organization Development and Human Resources for Barnes Group, an aerospace/automotive manufacturing and distribution organization. He also worked for Providence Energy Corporation and North Attleboro Gas Company. In addition, Mr. Smith spent a short period of time in Yokohama, Japan with OTAX Company as part of an internship program. He earned his Bachelor of Science degree in Business Administration from Providence College and has earned his SPHR and CCP.

James W. Madson, Vice President Strategic Sales & Business Development
50 Technology Dr. / Westminster, MA 01441

Mr. Madson was appointed Vice President of Strategic Sales & Business Development, in April, 2014. Mr. Madson joined SimplexGrinnell in January 2004 as Senior Director of Strategic Marketing and most recently served as Senior Director of National Sales. Prior to joining SimplexGrinnell, Mr. Madson held the position of Director for the North Region for the Security Division of Siemens Building Technologies for five years, and Region General Manager for the Mid-Atlantic area for ADT Security Services for two years. Mr. Madson also spent 17 years with Simplex in various Sales, Operations and Management positions, such as, Director of the Southeast US, and Director of Fire Alarm Marketing. He holds a Bachelor of Science Degree from The University of Toledo in Toledo, OH.



Use or disclosure of data contained on this page is subject to the restriction on the cover sheet of this proposal.

State of Rhode Island and Providence Plantations
Rhode Island Department of Labor and Training

FIRE PROT MASTER RHODE ISLAND 00000383



JOSHUA D SILVIA
45 WESTWOOD
NORTH SMITHFIELD RI 02896

JOHN SHAW
Administrator

03/31/2016
Expiration Date

Commonwealth of Massachusetts
Department of Public Safety

Sprinkler Journeyman

License: **SJ-003670**

ROY R SOUZA

7 Eaton Street

North Smithfield RI 02896



Thomas D. Bligh
Commissioner

Expiration:
06/29/2017

COMMONWEALTH OF MASSACHUSETTS
DIVISION OF PROFESSIONAL LICENSURE

**BOARD OF
ELECTRICIANS**

**ISSUES THE FOLLOWING LICENSE AS
A REGISTERED SYSTEM TECHNICIAN**

ROY R SOUZA

7 EATON ST

N SMITHFIELD

RI 02896-7805

1189 D

07/31/16

72393

LICENSE NUMBER

EXPIRATION DATE

SERIAL NUMBER

Roy R Souza
LICENSEE SIGNATURE

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Tyco Fire & Security (US) Management, Inc.

2 Business name/disregarded entity name, if different from above
SimplexGrinnell LP (58-2608861)

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC
☒ C Corporation
☐ S Corporation
☐ Partnership
☐ Trust/estate
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) >
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) >

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
Exempt payee code (if any) **5**
Exemption from FATCA reporting code (if any)
(Applies to accounts established outside the U.S.)

5 Address (number, street, and apt. or suite no.)
9 Roszel Road, PO Box 8799

6 City, state, and ZIP code
Princeton, NJ 08543-8799

7 List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number								

OR

Employer identification number									
8	0	-	0	7	0	6	0	6	7

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here Signature of U.S. person *[Signature]* Date **1-12-15**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted. Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/ty9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.